

The following documents are required by Astro Capital Finance Pty Ltd to support and assess your accreditation application. Please ensure each of the below (as required) is emailed to loans@astrocapital.com.au for review. Inability to complete or provide any of the following documentation may impact our ability to assess your application. We will notify you if you are accredited, and we may impose conditions on your accreditation.

Accreditation Application Checklist

- | | |
|--|--|
| <input type="checkbox"/> Current Driver's License or Passport | <input type="checkbox"/> Current MFAA or FBAA Membership (Full Accreditation Only) |
| <input type="checkbox"/> Current AFCA Membership (Full Accreditation Only) | <input type="checkbox"/> Current PI Insurance (Full Accreditation Only) |

Accreditation Type

- | | |
|--|---|
| <input type="checkbox"/> Commercial Accreditation (Non-Regulated Only) | <input type="checkbox"/> Full Accreditation (Regulated & Non Regulated) |
| | <input type="checkbox"/> You are the holder of an Australian Credit License (ACL) |
| | <input type="checkbox"/> You are an employee or director of an ACL Holder or related party of an ACL Holder |
| | <input type="checkbox"/> A credit Representative of an ACL Holder |

Aggregator Details (Full Accreditation Only)

Aggregator Details

ACL Number (if you hold one)

Credit Representative Number (if you don't hold an ACL)

ACL Holder Details:

Name

Position

ACL number

Signature of ACL Holder

Date

Broker Details

Name Email

Phone D.O.B State

Business Details

Business Name ABN

Address Phone



Declarations

	Yes	No
1. Have you ever been declared bankrupt or insolvent, or entered into any arrangement for the benefit of creditors?	<input type="checkbox"/>	<input type="checkbox"/>
2. Have you ever been found guilty of any offence or crime involving fraud, dishonesty or money laundering?	<input type="checkbox"/>	<input type="checkbox"/>
3. Have you ever had any application for the grant or renewal of financial services license or registration refused or declined?	<input type="checkbox"/>	<input type="checkbox"/>
4. Have you ever had a lender, originator or industry association decline an application or withdraw your/their accreditation or membership?	<input type="checkbox"/>	<input type="checkbox"/>
5. Have you ever had a license, registration or permission to carry on an occupation, profession or business suspended or cancelled, or otherwise been disqualified from carrying on that occupation, profession or business?	<input type="checkbox"/>	<input type="checkbox"/>

Provide details if you responded with "Yes" to any of the above questions.

Collection of Personal Information

Astro Capital Finance Pty Ltd is collecting your personal information to evaluate and process this application.

Additionally, your personal information may be utilised for marketing and promotional purposes, including informing you about, and enabling our affiliates and business partners to inform you about, other products or services that may be of interest to you.

Should you wish to opt out of these communications, you may withdraw your consent at any time by emailing loans@astrocapital.com.au.

Disclosure and Exchange of Information

Astro capital finance may disclose your personal information to a variety of parties for different purposes. This includes, but is not limited to;

- Our agents, contractors or serviced providers: These entities assist us in carrying out our functions and activities, such as processing applications, conducting assessments and providing customer service.
- Any person or organisation that assists us in identifying, preventing or investigating fraud, unlawful activity or misconduct (or suspected instances thereof): This ensures the security and integrity of our operations and the safety of its customers.
- Any person or organisation in relation to law enforcement, regulatory and government bodies.
- Any person associated with this application or the proposed loan account: This could include joint borrowers, account holders, guarantors (including intending guarantors), or parties offering (or intending to offer) security for the loan.
- Our related entities: This encompasses our affiliated companies and subsidiaries that may require access to your personal information to fulfil their roles.
- Any person or organisation in relation to law enforcement, regulatory and government bodies.

Compliance with Aggregator, Laws and Regulations

You must comply with all requirements communicated to you by your aggregator, as well as any applicable laws and regulations in regard to the provision of credit.

Customer Identification (AML/CTF & KYC)

You must comply with all applicable laws pertaining to AML/CTF (Anti-Money Laundering / Counter-Terrorism Financing) as well as KYC (Know Your Customer). Should you become aware, or have reason to suspect, that any individual may be deemed of medium or high risk, you must inform us immediately.

Memberships (Full Accreditation Only)

You must maintain membership of recognised industry associations for mortgage and/or finance brokers (MFAA or FBAA) and of the Australian Financial Complaints Authority (AFCA) at all times.

Insurance

You must hold or be covered by professional indemnity insurance with a reputable insurer to a level of at least \$2 million per claim and \$4 million in aggregate (over any 12 month period) or any higher amount required by law.



Declarations

Broker Confirmation and Acceptance

By signing this form, you apply for accreditation with Astro Capital Finance Pty Ltd and agree to the terms set out in this application, and confirm that the information you have provided is true in every detail.

Signature of broker

Date

Aggregator Confirmation and Acceptance (where applicable)

By signing this form, the aggregator confirms that;

- The information in this application has been verified and is correct.
- The aggregator holds the relevant police checks for the broker and any directors of the ACL Holder, which are satisfactory and contain no adverse findings.
- The identification of the mortgage broker and any directors of the ACL Holder have been satisfactorily completed.
- The broker has completed the aggregator's internal accreditation process, including being adequately trained to engage in credit activities and completing appropriate AML/CTF privacy and training.

Signature of Authorised Representative:

Name of Authorised Representative

Date